# TPM 1.2 Firmware Update Guidance

# for Infineon SLB9655 and SLB9660

Rev. 05

# 1. Introduction

This guidance described about in-field firmware update method for Infineon Trusted Platform Module (TPM) version 1.2

# 2. Target TPM Device and Firmware Update Tool

The following table shows target TPM device of this document, and related Firmware Update Tool.

Each Manufacturer Name, Manufacturer Version, and Specification Version column corresponds to text shown in Windows utility "Trusted Platform Module (TPM) Management".

Table 1

Manufacturer Name	Manufacturer Version	Specification Version	Firmware Update Tool
IFX	4.32	1.2	IFXTPMUpdate_TPM12_v0434.exe
IFX	4.40	1.2	IFXTPMUpdate_TPM12_v0443.exe



# 3. Preconditions

To run the update tool, **administrative privilege** is required.

During the update tool executing, you may need the TPM Owner Password. If the operating system does not store the Owner Password or Owner Password Backup File, you may need to clear the TPM.

# WARNING Before starting update, it is strongly recommended to backup the computer. In case your drive was encrypted by BitLocker, it blocks the TPM firmware update. Before starting the firmware update, "Suspend protection" of BitLocker. In case clearing the TPM, it resets the TPM to factory defaults. It will lose every created keys and data protected by those keys. Clearing the TPM may cause you to be prompted for your BitLocker recovery key, or re-setting PIN, in case using these features.

# 4. How to Update the TPM Firmware

To run the update tool, administrative privilege is required.

### 4.1. Windows 7

1. Run "tpm.msc" from Start Menu. (Type "tpm.msc" at "Search programs and files".) "Trusted Platform Module (TPM) Management on Local Computer" appears.





- 2. To confirm whether the TPM firmware is subject to update, clarify "Manufacturer Name" and "Specification Version" are "IFX" and "1.2", and "Manufacturer Version" are shown in Table 1.
- In case you have the TPM Owner Password or the TPM Owner Password Backup File, proceed to 10.
   In case both the TPM Owner Password and the TPM Owner Password Backup File are not found, you need to clear the TPM.



- In case your drive was encrypted by BitLocker, it blocks the TPM firmware update. Before starting the firmware update, "Suspend protection" of BitLocker.
- In case clearing the TPM, it resets the TPM to factory defaults. It will lose every created keys and data protected by those keys. Clearing the TPM may cause you to be prompted for your BitLocker recovery key, or re-setting PIN, in case using these features.
- 4. On "Trusted Platform Module (TPM) Management on Local Computer", choose "Initialize TPM..." from "Actions".

Trusted Platform Module (TPM	d) Management on Local Computer	
Eile Action View Windo	w Help	- @ ×
Section 2010 Texas Present on Local	TPM Management on Local Computer	Actions
TPM Management on Local     Command Management	TPM Management on Local Computer         Configures the TPM and its support by the Windows platform         Overview         Windows computers containing the Trusted Platform Module (TPM) security hardware provide enhanced security features for applications. This snap-in displays information about the computer's TPM and its walk administrators to configure the device.         Status         The TPM is on and ownership has been taken.         TPM Management         Change TPM owner password.         Clearing the TPM to renove ownership and reset the TPM to factory defaults.         WARNING: Clearing the TPM causes you to lose all TPM keys and data protected by those keys.         TPM Manafuecturer Information         Manufacturer Name: IFX       Manufacturer Version: 4.40         Specification Version: 12	Actions TPM Management on Local Computer  TPM Management on Local Computer  TPM Management on Local Computer  Turn TPM On Turn TPM On Turn TPM On Change Owner Password Clear TPM Reset TPM Lockout View New Window from Here Refeh Help Help
* III +	What is TPM initialization?	•

The following screen appears. Choose "I do not have the TPM owner password".



5. The following screen appears. Choose "Restart" to restart the computer.



After restart, BIOS warning message may appear. Press [F11] to clear TPM and proceed.



After restart, the following screen appears. Choose "Restart" to restart the computer.



 The following screen appears. Choose how to create the TPM Owner Password. Usually, choose "<u>A</u>utomatically create the password (recommended)".



7. Choose "<u>Save the password</u>..." and choose location to save the TPM Owner Password. USB memory is recommended.



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Comput	er > • • •	Search Computer	Q
Organize 🔻		• = •	0
Desktop Downloads Recent Places Documents Music Pictures Videos	<ul> <li>Hard Disk Drives (1)         <ul> <li>TB1394600A (C:)</li> <li>76.7 GB free of 106 GB</li> </ul> </li> <li>Devices with Removable Storage (1)         <ul> <li>Removable Disk (D:)</li> <li>927 MB free of 979 MB</li> </ul> </li> </ul>		
	-PC.tpm Owner Password File (*.tpm)		•
) Hide Folders		<u>Save</u> Cano	:el

- 8. After saving or printing the password, "Initialize" button becomes active. Choose "Initialize" to start TPM initialization.
- 9. After initialization completed, the following screen appears.



10. Right click Firmware Update Tool in Table 1 corresponds to Manufacturer Version, choose "Run as administrator".



The following screen appears.



Check "<u>A</u>ccept the terms of this license agreement", and choose "<u>N</u>ext".
 In case AC adapter is not plugged, the following screen appears. Plug the AC adapter and choose "<u>B</u>ack" to return previous screen once.



12. The following screen appears. Check platform details and choose "Next".



### WARNING

 In case Manufacturer Version is 3.17 or 3.19, it is not a subject to firmware update, the following screen can appear. In the case, choose "Cancel" to cancel firmware update. Even if you choose "Next", firmware update is not executed.



13. Provide the Owner Password. In case having the Owner Password as the Owner Password Backup File, choose "I have the Owner Password Backup File" and specify the file location by pressing "Browse...".





14. Choose "Update" to start firmware update.



### **WARNING**

- On Windows 7, the firmware update may take up to 10 minutes. Do NOT turn off the computer until the update completes.
- 4.2. Windows 8.1, Windows 10 version 1507 and 1511
- Right click Start Menu and choose "Run". Run "tpm.msc" from "Run". (Type "tpm.msc" at "Open".)
   "Trusted Platform Module (TPM) Management on Local Computer" appears.





Run X	
Type the name of a program, folder, document, or Internet resource, and Windows will open it for you.	
Qpen: tpm.mss	
OK Cancel Browse	
Trusted Platform Module (TPM) Management on Local Computer	
Eile Action View Window Help	- 6
	l
TPM Management on Local Comp TPM Management on Local Computer	Actions
TPM Management on Local Computer Configures the TPM and its support by the Windows platform	TPM Management on Local Comput Prepare the TPM
Configures the TPM and its support by the vivindows platform	Turn TPM Off
Overview	Change Owner Password
Windows computers containing the Trusted Platform Module (TPM) security hardware provide enhanced	Clear TPM
Introduced completer containing set many indication relation includer (in registering the completer) and the completer in TMM and allows administrators to configure the device. It also allows administrators to view and manage commands understood by the device.	Reset TPM Lockout      View
	New Window from Here
Status *	G Refresh
The TPM is ready for use, with reduced functionality.	- Help
TPM Management	Hep 1
-	
Change TPM owner password. JR	
Clear the TPM to remove ownership and reset the TPM to factory defaults.	
WARNING: Clearing the TPM causes you to lose all TPM keys and data protected by those keys.	
TPM Manufacturer Information	-
Manufacturer Name: IFX Manufacturer Version: 4.40 Specification Version: 1.2	
	-

2. To confirm whether the TPM firmware is subject to update, clarify "Manufacturer Name" and "Specification Version" are "IFX" and "1.2", and "Manufacturer Version" are shown in Table 1.

In case you have the TPM Owner Password or the TPM Owner Password Backup File, proceed to 7.
 In case both the TPM Owner Password and the TPM Owner Password Backup File are not found, you need to clear the TPM.

# WARNING

- Before starting update, it is strongly recommended to backup the computer.
- In case your drive was encrypted by BitLocker, it blocks the TPM firmware update. Before starting the firmware update, "Suspend protection" of BitLocker.
- In case clearing the TPM, it resets the TPM to factory defaults. It will lose every created keys and data protected by those keys. Clearing the TPM may cause you to be prompted for your BitLocker recovery key, or re-setting PIN, in case using these features.
- 4. On "Trusted Platform Module (TPM) Management on Local Computer", choose "Clear TPM..." from "Actions".

1	Trusted Platform Module (TPM) Management on Local Computer	- 🗆 🗙
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(* *) 🔟 🖬 🛅		
See TPM Management on Local Comp	TPM Management on Local Computer	Actions
Command Management	TPM Management on Local Computer	TPM Management on Local Comput
	Configures the TPM and its support by the Windows platform	Prepare the TPM
	and the second se	Turn TPM Off
	Overview *	Change Owner Password
	Windows computers containing the Trusted Platform Module (TPM) security hardware provide enhanced security features for applications. This snap-in displays information about the computer's TPM and allows	Clear TPM
	administrators to configure the device. It also allows administrators to view and manage commands understood by the device.	Reset TPM Lockout      View
		New Window from Here
	Status *	G Refresh
	The TPM is ready for use, with reduced functionality.	Help
	TPM Management	
	Change TPM owner password.	
	Clear the TPM to remove ownership and reset the TPM to factory defaults	
	WARNING: Clearing the TPM causes you to lose all TPM keys and data protected by those keys.	
	WARNING. Cealing the TPM causes you to use all TPM keys and usia protected by those keys.	
	TPM Manufacturer Information	-
	Manufacturer Name: IFX Manufacturer Version: 4.40 Specification Version: 1.2	
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		,

5. The following screen appears. Choose "Restart" to restart the computer.



After restart, BIOS warning message may appear. Press [F11] to clear TPM and proceed.

6. After restart, the following screen appears. Choose "Remember my TPM owner password", and choose location to save the TPM Owner Password. USB memory is recommended.

📀 🧣 Manage th	he TPM security hardware		
TPM is ready			
	ardware on this computer is ready for use.		
	natically remember your TPM owner password and any applications that use it. But you can al prefer.		
Describer			
Remember my TPM Save your TPM own	Lowner password ler password to a file on your computer or on	removable media.	
		Close	
*	Save As		
🛞 🎯 🕤 🛉 📑 T	his PC →	✓ C Search This PC	,
Organize 👻			÷ (
🔺 🚖 Favorites	Folders (6)		
Desktop	Devices and drives (2)     TI31370300J (C:)	Removable Disk (D:)	
S Recent places	195 GB free of 225 GB	846 MB free of 979 MB	1
Image:			
Network			
File game: TPM	1-TEST.tom		
	Owner Password File (*.tpm)		
			Cancel
Hide Folders		Save	

After saving the password, choose "Close" on "Manage the TPM security hardware".

7. Right click Firmware Update Tool in Table 1 corresponds to Manufacturer Version, choose "Run as administrator".



### The following screen appears.



8. Check "<u>A</u>ccept the terms of this license agreement", and choose "<u>N</u>ext".

In case AC adapter is not plugged, the following screen appears. Plug the AC adapter and choose "<u>B</u>ack" to return previous screen once.

TPM Update TPM Firmware	×
Check platform details	
Opdate to version 4.43.257.0 possible, but preconditions not met	
Hide Details	
Trusted Platform Module 1.2 (Infineon Technologies AG, SLB 9660 TT 1.2) Firmware Version 4.40.119.0 (64 remaining updates)	
Preconditions:	
System is running on battery.	
Please check the readme for details about preconditions. Refresh	
<u>Kerresn</u>	
Kext > Cancel H	elp

9. The following screen appears. Check platform details and choose "Next".



TPM Owner Password is not queried.

WARNING	
In case Manufacture	Version is 3.17 or 3.19, it is not a subject to firmware update, the following
screen can appear.	In the case, choose "Cancel" to cancel firmware update. Even if you
choose "Next", firmw	are update is not executed.
Update TPM Firmware	×
Check platform details	
🔮 Update to version 4.34.1011.0 possible	
Hide Details	
Trusted Platform Module 1.2, Firmware Version 3.17.8.0	
Refresh	
< 戻る(B) 次へ(N) >	キャンセル ヘルプ

10. Choose "Update" to start firmware update.



- 4.3. Windows 10 version 1607 (Anniversary Update) and after
- 1. Run "tpm.msc" from Start Menu. (Type "tpm.msc" at "Search programs and files".) "Trusted Platform Module (TPM) Management on Local Computer" appears.



2. To confirm whether the TPM firmware is subject to update, clarify "Manufacturer Name" and "Specification Version" are "IFX" and "1.2", and "Manufacturer Version" are shown in Table 1.

In case you have the TPM Owner Password or the TPM Owner Password Backup File, proceed to 10.
 In case both the TPM Owner Password and the TPM Owner Password Backup File are not found, you need to clear the TPM.

### WARNING

- Before starting update, it is strongly recommended to backup the computer.
- In case your drive was encrypted by BitLocker, it blocks the TPM firmware update. Before starting the firmware update, "Suspend protection" of BitLocker.
- In case clearing the TPM, it resets the TPM to factory defaults It will lose every created keys and data protected by those keys. Clearing the TPM may cause you to be prompted for your BitLocker recovery key, or re-setting PIN, in case using these features.
- 4. Run "regedit" from Start Menu. (Type "regedit" at "Search programs and files".) "Registry Editor" appears.



5. Clarify registry key [HKEY\_LOCAL\_MACHINE¥SOFTWARE¥Policies¥Microsoft¥TPM] OSManagedAuthLevel, and record original key data. Choose "Edit" – "Find...".



Type "OSManagedAuthLevel" at "Find what", then press "Find Next". The following screen appears after a while.

🎬 Registry Editor			
<u>File Edit View Favorites H</u> elp			
Compute \HKEY_LOCAL_MACHINE\S	OFTWARE\Policies\Mic	rosoft\TPM	
> - BCD0000000	^ Name	Туре	Data
> 📜 HARDWARE	ab (Default)	REG SZ	(value not set)
> 📜 SAM	CSManagedAu	thLevel REG_DWORD	0x0000005 (5)
SECURITY			
V. SOFTWARE			

Clarify upper text shows "HKEY\_LOCAL\_MACHINE¥SOFTWARE¥Policies¥Microsoft¥TPM", and lower

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left text shows "OSManagedAuthLevel", and take a note for the data. (In this screenshot case, "5".) After updating the firmware, this register key shall be restored, so do not miss to take a note.

6. Double click "OSManagedAuthLevel". The following screen appears.



- 7. Change "Value data" as 4, and press "OK".
- 8. Run "tpm.msc" from Start Menu, and choose "Clear TPM..." from "Actions".

### VARNING

- Before starting update, it is strongly recommended to backup the computer.
- In case your drive was encrypted by BitLocker, it blocks the TPM firmware update. Before starting the firmware update, "Suspend protection" of BitLocker.
- In case clearing the TPM, it resets the TPM to factory defaults. It will lose every created keys and data protected by those keys. Clearing the TPM may cause you to be prompted for your BitLocker recovery key, or re-setting PIN, in case using these features.



9. The following screen appears. Choose "Restart" to restart the computer.



After restart, BIOS warning message may appear. Press [F11] to clear TPM and proceed.

10. Right click Firmware Update Tool in Table 1 corresponds to Manufacturer Version, choose "Run as administrator". The following screen appears.



11. Check "Accept the terms of this license agreement", and choose "Next".

In case AC adapter is not plugged, the following screen appears. Plug the AC adapter and choose "<u>B</u>ack" to return previous screen once.



In case the following screen appears (Preconditions shows "BitLocker Drive Encryption blocks firmware update"), BitLocker is turned on. Press "Cancel" to cancel the firmware update, and "Suspend protection of" BitLocker, then go back to 10.

🕎 Upd	ate TPM Firmware	×
Che	ck platform details	
	Update to version 4.43.257.0 possible, but preconditions not met	
	Hide Details	
	Trusted Platform Module 1.2 (Infineon Technologies AG, SLB 9660 TT 1.2) Firmware Version 4.40.119.0 (64 remaining updates)	
	Preconditions:	
	S BitLocker Drive Encryption blocks firmware update.	
	Please check the readme for details about preconditions. <u>Refresh</u>	
	< 戻る( <u>B</u> ) 次へ( <u>N</u> ) > キャンセル ヘルプ	
Oper	ating system drive	
TI3	1397900C (C:) BitLocker on	
	Suspend protection	

12. The following screen appears. Check platform details and choose "Next".



### WARNING

• In case Manufacturer Version is 3.17 or 3.19, it is not a subject to firmware update, the following screen can appear. In the case, choose "Cancel" to cancel firmware update. Even if you choose "Next", firmware update is not executed.

ヘルプ

13. In case the following screen appears, provide the Owner Password. In case does not appears, skip to 14. In case having the Owner Password as the Owner Password Backup File, choose "I have the Owner Password





14. Choose "Update" to start firmware update.



15. Run "regedit" from Start Menu. (Type "regedit" at "Search programs and files".) "Registry Editor" appears.

16. Search registry key [HKEY\_LOCAL\_MACHINE¥SOFTWARE¥Policies¥Microsoft¥TPM]



Type "OSManagedAuthLevel" at "Find what", then press "Find Next". The following screen appears after

a while.				
🎬 Registry Editor				
<u>Eile Edit View Favorites H</u> e	lp		_	
Compute \HKEY_LOCAL_MACHIN	NE\SOF	TWARE\Policies\Microsoft\TF	M	
> - BCD0000000	^	Name	Туре	Data
> - 📜 HARDWARE		ab (Default)	REG SZ	(value not set)
> 📜 SAM		OSManagedAuthLevel	REG DWORD	0x0000005 (5)
🧵 SECURITY				
SOFTWARE				

Clarify upper text shows "HKEY\_LOCAL\_MACHINE¥SOFTWARE¥Policies¥Microsoft¥TPM", and lower left text shows "OSManagedAuthLevel".

17. Double click "OSManagedAuthLevel". The following screen appears.



18. Change "Value data" as data noted at 5, and press "OK".

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